



Page 1 of 2

PATENT
Case No. 011344

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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TECH CENTER 1600/2900

Applicant(s): Yih-Tai Chen and Longguang Cao

Art Unit: 1642

Serial No.: 09/977,897

Examiner:

Filed: October 15, 2001

For: A Synthetic DNA Encoding An Orange Seapen-Derived Green Fluorescent Protein With Codon Preference Of Mammalian Expression Systems and Biosensors

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

SUPPLEMENTAL
INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. 1.97

Sir:

1. Applicant(s) submit(s) on the attached PTO-1449 herewith a list of patents, publications or other information of which they are aware, which they believe may be pertinent to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 C.F.R. 1.56. This Information Disclosure Statement is not an admission that any patent, publication or other information referred to herein is "prior art" for this invention unless specifically designated as such.

2. In accordance with 37 C.F.R. 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

3. If the captioned case is a continuing application of an earlier filed parent application, the Examiner is respectfully requested to refer to any art cited to the earlier filed parent application. If this is inconvenient, additional copies will be submitted upon request.

4. Copies of the following references listed on PTO-1449 are not enclosed because they have been submitted in a related application as follows:

INFORMATION DISCLOSURE STATEMENT

RELATED APPLICATION			
REFERENCE	SN	FILING DATE	CASE NO.

5. In accordance with 37 C.F.R. 1.97, (check one)

- ☒ the attached information is filed before receiving a First Office Action in the captioned case.
- ☐ the attached information is filed within three months of the filing date of the captioned application.
- ☐ the attached is filed before applicant(s) receipt of the first Official Action for the captioned application.
- ☐ the undersigned certifies that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement.
- ☐ the undersigned certifies that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated under 37 C.F.R. 156(c) more than three months prior to the filing of the statement.

Respectfully submitted,

By:


Craig G. Cochenour

Attorney for Applicant(s)

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Date: June 17, 2003

FORM PTO-1449
(Rev. 7-80)U.S. Department of Commerce
Patent and Trademark Office

ATTY. DOCKET NO.

SERIAL NO.

011344

09/977,897

LIST OF PRIOR ART CITED BY APPLICANT

(Use several sheets if necessary)

APPLICANT

Yih-Tai Chen et al.

FILING DATE

October 15, 2001

GROUP

1642

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	IF APPROPRIATE
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						

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FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	AL							
	AM							
	AN							
	AO							
	AP							

OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)

	AR		Journal of Virology, July, 1996, p. 4646-4654, Vol. 70, No. 7,
			"A "Humanized" Green Fluorescent...Mammalian Cells", S. Zolotukhin,
			et al.
	AT		Current Biology 1996, Vol. 6, No. 3:325-330, "Engineered GFP as a
			Vital Reporter in Plants", Wan-ling Chiu, et al.

EXAMINER

DATE CONSIDERED

* EXAMINER Initial if reference considered, whether or not citation is in conformance with PEP 609. Draw line through question if not in conformance and not considered. Include copy of this form with next communication to applicant.

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	AO							
	AP							

OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)

	AR		European Journal of Cell Biology, Vol. 79, pp. 144-149 (2000, February)
			"Expression of the Green... Tetraurelia", Karin Hauser, et al.
	AS		Journal of Protein Chemistry, Vol. 20, No. 6, August 2001, pp. 507-511
			"Characterization and Use of Green Fluorescent Proteins...
			Functional Peptides", Beau Peelle, et al.

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